

ORIGINAL DOCUMENTS AND COPIES UNDER UCP 600 ICC

<p>ORIGINAL DOCUMENT</p>	<ul style="list-style-type: none"> • Any document bearing an apparently original signature, mark, stamp, or label of the issuer of the document, unless the document itself indicates that it is not an original. • Appears to be written, typed, perforated or stamped by the document issuer’s hand; • Appears to be on the document issuer’s original stationery; • States that it is original, unless the statement appears not to apply to the document presented. • A document that appears to bear the document issuer’s facsimile signature is also treated as an original document.
<p>COPIES OF DOCUMENTS</p>	<ul style="list-style-type: none"> • If a credit requires presentation of copies of documents, presentation of either originals or copies is permitted. • Copies of documents need not be signed nor dated.
<p>PRESENTATION OF MULTIPLE DOCUMENTS (“in duplicate”, “in two fold” or “in two copies”)</p>	<ul style="list-style-type: none"> • This will be satisfied by the presentation of at least one original and the remaining number in copies, except when the document itself indicates otherwise.
<p>PHOTOCOPIES</p>	<ul style="list-style-type: none"> • Any document that appears to be a photocopy of another document is not considered an original. • A photocopy that appears to be written, typed, perforated, or stamped by the document issuer’s hand; or appears to be on the document issuer’s original stationery is treated as an original document unless it indicates otherwise.
<p>NON-ORIGINAL DOCUMENT</p>	<ul style="list-style-type: none"> • Appears to be produced on a telefax machine; • Appears to be a photocopy of another document which has not otherwise been completed by hand marking the photocopy or by photocopying it on what appears to be original stationery; • States in the document that it is a true copy of another document or that another document is the sole original

At least one original of each document stipulated in the credit must be presented.

This chart is intended to offer a general overview. For further details, please consult the official UCP 600/ISBP publications and ICC Opinions. The content has been prepared with care and to the best of our knowledge; however, no liability is assumed for its timeliness, completeness, or accuracy.



ARTICLE 17 UCP 600 ICC: Original Documents and Copies

- a. At least one original of each document stipulated in the credit must be presented.
- b. A bank shall treat as an original any document bearing an apparently original signature, mark, stamp, or label of the issuer of the document, unless the document itself indicates that it is not an original.
- c. Unless a document indicates otherwise, a bank will also accept a document as original if it:
 - i. appears to be written, typed, perforated or stamped by the document issuer's hand; or
 - ii. appears to be on the document issuer's original stationery;or
 - iii. states that it is original, unless the statement appears not to apply to the document presented.
- d. If a credit requires presentation of copies of documents, presentation of either originals or copies is permitted.
- e. If a credit requires presentation of multiple documents by using terms such as "in duplicate", "in two fold" or "in two copies", this will be satisfied by the presentation of at least one original and the remaining number in copies, except when the document itself indicates otherwise.

A27

A document bearing an apparently original signature, mark, stamp or label of the issuer will be considered to be an original unless it states that it is a copy. Banks do not determine whether such a signature, mark, stamp or label of the issuer has been applied in a manual or facsimile form and, as such, any document bearing such method of authentication will satisfy the requirements of UCP 600 article 17.

A28

Documents issued in more than one original may be marked “Original”, “Duplicate”, “Triplicate”, “First Original”, “Second Original”, etc. None of these markings will disqualify a document as an original.

A29

- a. The number of originals to be presented is to be at least the number required by the credit or UCP 600.
- b. When a transport document or insurance document indicates how many originals have been issued, the number of originals stated on the document is to be presented, except as stated in paragraphs H12 and J7 (c).
- c. When a credit requires presentation of less than a full set of original transport documents, (for example, “2/3 original bills of lading”), but does not provide any disposal instructions for the remaining original bill of lading, a presentation may include 3/3 original bills of lading.
- d. When a credit requires, for example, presentation of:
 - i. “Invoice”, “One Invoice”, “Invoice in 1 copy” or “Invoice – 1 copy”, it will be understood to be a requirement for an original invoice.
 - ii. “Invoice in 4 copies” or “Invoice in 4 fold” will be satisfied by the presentation of at least one original invoice and any remaining number as copies.
 - iii. “photocopy of invoice” or “copy of invoice” will be satisfied by the presentation of either a photocopy, copy or, when not prohibited, an original invoice.
 - iv. “photocopy of a signed invoice” will be satisfied by the presentation of either a photocopy or copy of the original invoice that was apparently signed or, when not prohibited, a signed original invoice.

A30

- a. When a credit prohibits the presentation of an original document by stating, for example, “photocopy of invoice – original document not acceptable in lieu of photocopy” or the like, only a photocopy of an invoice or an invoice marked copy is to be presented.
- b. When a credit requires the presentation of a copy of a transport document and indicates a disposal instruction for all originals of that document, a presentation is not to include any original of such document.

A31

- a. Original documents are to be signed when required by the credit, the document itself (except as stated in paragraph A37) or UCP 600.
- b. Copies of documents need not be signed nor dated.